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UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/802,745	03/08/2001	David John Richardson	DYOUNP0211US

**CONFIRMATION NO. 8240**

**FORMALITIES LETTER**



\*OC00000006113786\*

RENNER, OTTO, BOISSELLE & SKLAR, LLP  
1621 Euclid Avenue, Nineteenth Floor  
Cleveland, OH 44115-2191

Date Mailed: 05/24/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

*A copy of this notice **MUST** be returned with the reply.*

*[Signature]*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

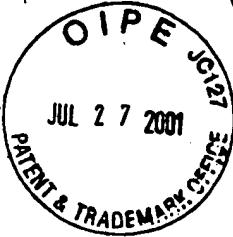
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01 FC:101  
02 FC:105

710.00 0P  
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Attorney Docket No. DY0UP0211US

# SECTOR  
#5 - ✓



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re PATENT application of:

Applicant(s): David John Richardson et al.  
Serial No: 09/802,745  
Filed: March 8, 2001  
Title: OPTICAL TRANSMISSION SYSTEM AND METHOD

COMPLETION OF FILING REQUIREMENTS

Box Missing Part  
Assistant Commissioner for Patents  
Washington, D.C. 20231

This is a response to the Office notice, copy attached, dated May 24, 2001.

- The Declaration(s) is/are enclosed herewith. The above-identified application, on information and belief, is the application which the inventor(s) executed by signing the declaration(s) which sets forth the name(s) of the inventor(s) and the title of the invention.
- The filing fee or balance thereof in the amount of \$ 710.00 is hereby being paid. The amount paid reflects entry of any accompanying preliminary amendment.

The surcharge for late filing of the declaration and/or paying the filing fee is:

- \$130.00 for other than small entity.  
 \$ 65.00 for a small entity:  
     verified statement attached.  
     verified statement previously filed.

Payment of the filing fee and/or surcharge is being made as follows:

- Enclosed is a check in the amount of \$ 840.00.  
 Charge Deposit Account No. 18-0988. A duplicate of this paper is attached.

The Commissioner is hereby authorized to charge any additional fees associated with this communication to Deposit Account No. 18-0988.

09/14/2001 TV0111 0000006 180988 09802745

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Respectfully submitted,

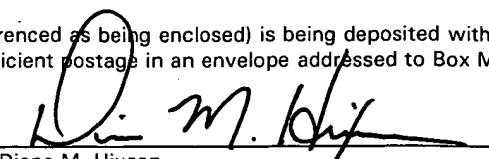
  
Don W. Bulson, Reg. No. 28,192

RENNER, OTTO, BOISSELLE & SKLAR  
1621 Euclid Ave., 19th Floor  
Cleveland, Ohio 44115  
(216) 621-1113

CERTIFICATE OF MAILING

I hereby certify that this correspondence (along with any paper referenced as being enclosed) is being deposited with the United States Postal Service on the date shown below as first class mail with sufficient postage in an envelope addressed to Box Missing Part, Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: 7-24-01

  
Diane M. Hixson